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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)



Declaration  
Submitted  
With Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

04-016-NG

First Named Inventor

Nicholas Grande

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

## I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Reversible Necktie

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number:  OR ☒ Correspondence address below

Name

Lambert & Associates

Address

92 State Street, Suite 200

City

Boston

State

MA

ZIP

02109

Country

USA

Telephone

(617) 720-0091

Fax

(617) 227-0313

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])  
Nicholas

Family Name

or Surname  
Grande

Inventor's  
Signature

*Nicholas Grande*

Date

3/29/04

Residence: City

West Roxbury

State

MA

Country

USA

Citizenship

United States

Mailing Address

72 Martin Street

City

West Roxbury

State

MA

ZIP

02132

Country

USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])  
Eric

Family Name

or Surname  
Grande

Inventor's  
Signature

*Eric Grande*

Date

3/29/04

Residence: City

West Roxbury

State

MA

Country

USA

Citizenship

United States

Mailing Address

72 Martin Street

City

West Roxbury

State

MA

ZIP

02132

Country

USA

☐ Additional inventors or a legal representative are being named on the

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	
Filing Date	
First Named Inventor	Nicholas Grande
Title	Reversible Necktie
Art Unit	
Examiner Name	
Attorney Docket Number	04-016-NG

I hereby appoint:

☐ Practitioners associated with the Customer Number:   
OR

☒ Practitioner(s) named below:

Name	Registration Number
Gary E. Lambert	35,925
Melissa Patangia	52,098
Patrick D. Archibald	52,464

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

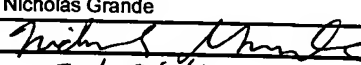
☐ The address associated with the above-mentioned Customer Number:  
OR

☐ The address associated with Customer Number:   
OR

<input checked="" type="checkbox"/> Firm or Individual Name	Lambert & Associates				
Address	92 State Street, Suite 200				
Address					
City	Boston	State	MA	Zip	02109
Country	USA				
Telephone	(617) 720-0091	Fax	(617) 227-0313		

I am the:

☒ Applicant/Inventor.  
☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*
**SIGNATURE of Applicant or Assignee of Record**

Name	Nicholas Grande				
Signature					
Date	3/29/04		Telephone	617-323-6169	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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<input checked="" type="checkbox"/> Firm or Individual Name	Lambert & Associates				
Address	92 State Street, Suite 200				
Address					
City	Boston	State	MA	Zip	02109
Country	USA				
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I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name	Eric Grande		
Signature	<i>Eric Grande</i>		
Date	3/29/04	Telephone	617-323-6169

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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# L AMBERT & A SSOCIATES

*Specializing in Patents, Trademarks,  
Copyrights, Licensing, Litigation and Related Matters*

## REGISTERED PATENT ATTORNEYS

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NEW HAMPSHIRE OFFICE  
159 Main St., Nashua, New Hampshire 03060-5592

### LIST OF INVENTOR DUTIES

An applicant for patent owes a duty of candor and good faith to the United States Patent Office. This duty applies equally to any person who assisted at any time in the prosecution of the patent, including the attorney. To satisfy this obligation, all information known to be material to the patentability of any claim must be disclosed to the Patent Office. **There is no requirement to search for information that might prove adverse to your invention, however, any knowledge that you currently possess or later come into possession of, must be disclosed.** If at any time it is determined that you failed to comply, invalidation of the patent will result. Full disclosure of all relevant information prior to the issuance of the patent, although not a guarantee, does serve to bolster the presumption that your patent is valid.

An applicant for patent must also describe the best mode known to the inventor for carrying out the invention at the time that the inventor files the application. The description must be sufficient to enable one skilled in the art of the invention to make and use the same.

With the former in mind, please let us know whether the answer to any of the following questions is no so that we can bring it to the attention of the Patent Office:

- Have all the contributors to each and every claim in the patent been disclosed? ☒ Yes ☐ No
- Is the application technically correct? ☒ Yes ☐ No
- Have all necessary features of the invention been described in the application? ☒ Yes ☐ No
- Are all limitations necessary to the invention described in the application? ☒ Yes ☐ No
- Is the best method for making and using the invention disclosed in the application? ☒ Yes ☐ No
- Does the application disclose all details necessary to enable one skilled in the art to make and use the invention? ☒ Yes ☐ No
- Have all important points known to the inventor been disclosed to the attorney, e.g.; sales, offers to sell the invention, public use, commercial use? ☒ Yes ☐ No
- Have the most relevant references been disclosed to the attorney and have the most relevant parts been pointed out and explained where necessary? ☒ Yes ☐ No

Nicholas Grande  
Name (Typed)

  
Signature

3/29/04  
Date

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Have the most relevant references been disclosed to the attorney and have the most relevant parts been pointed out and explained where necessary? ☒ Yes ☐ No

Eric Grande  
Name (Typed)

*Eric Grande*  
Signature

3/29/04  
Date